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Please type a plus sign (+) inside this box → ☒

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|                        |                  |
|------------------------|------------------|
| Application Number     | 09/866,861       |
| Filing Date            | May 29, 2001     |
| First Named Inventor   | INOUE            |
| Group Art Unit         | Not yet assigned |
| Examiner Name          | Not yet assigned |
| Attorney Docket Number | 9319T-000219     |

|  |  |
|--|--|
| Total Number of Pages in This Submission |  |
|--|--|

## ENCLOSURES (check all that apply)

|   |   |  |         |  |
|---|---|--|---------|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input checked="" type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Response<br><br><input type="checkbox"/> After Final<br><br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input checked="" type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application<br><br><input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><br><input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><br><b>Statement of Accuracy; English Translation of Application; Drawings (Figs 1-13b); Preliminary Amendment; and Return Receipt Postcard</b> |         |  |
| <table><tr><td>Remarks</td><td></td></tr></table>   |   |  | Remarks |  |
| Remarks   |   |  |         |  |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |                                  |   |                             |
|-------------------------|----------------------------------|---|-----------------------------|
| Firm or Individual name | Harness, Dickey & Pierce, P.L.C. | Attorney Name<br>G. Gregory Schivley / Bryant E. Wade | Reg. No.<br>27,382 / 40,344 |
| Signature               |                                  |   |                             |
| Date                    | November 26, 2001                |   |                             |

## CERTIFICATE OF MAILING/TRANSMISSION

|   |                                      |      |                   |
|---|--------------------------------------|------|-------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below. |                                      |      |                   |
| Typed or printed name   | G. Gregory Schivley / Bryant E. Wade |      |                   |
| Signature   |                                      | Date | November 26, 2001 |

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number 09/866,861

Filing Date May 29, 2001

First Named Inventor INOUE

Examiner Name Not yet assigned

Group / Art Unit Not yet assigned

Attorney Docket No. 9319T-000219

TOTAL AMOUNT OF PAYMENT (\$) 2318

## METHOD OF PAYMENT (check one)

☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

08-0750

Deposit  
Account  
Name

Harness, Dickey & Pierce, P.L.C.

☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description        | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|----------|
| 101                  | 740                   | 201                  | 370                   | Utility filing fee     | 740      |
| 106                  | 330                   | 206                  | 165                   | Design filing fee      |          |
| 107                  | 510                   | 207                  | 255                   | Plant filing fee       |          |
| 108                  | 740                   | 208                  | 370                   | Reissue filing fee     |          |
| 114                  | 160                   | 214                  | 80                    | Provisional filing fee |          |

SUBTOTAL (1)

(\$ 740)

### 2. EXTRA CLAIM FEES

|                    |    |        |   |   |   |     |   |     |
|--------------------|----|--------|---|---|---|-----|---|-----|
| Total Claims       | 29 | -20 ** | = | 9 | X | 18  | = | 162 |
| Independent Claims | 12 | -3 **  | = | 9 | X | 84  | = | 756 |
| Multiple Dependent | 0  |        |   |   | X | 280 | = | 0   |

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description  |
|----------------------|-----------------------|----------------------|-----------------------|--|
| 103                  | 18                    | 203                  | 9                     | Claims in excess of 20                                     |
| 102                  | 84                    | 202                  | 42                    | Independent claims in excess of 3                          |
| 104                  | 280                   | 204                  | 140                   | Multiple dependent claim, if not paid                      |
| 109                  | 84                    | 209                  | 42                    | ** Reissue independent claims over original patent         |
| 110                  | 18                    | 210                  | 9                     | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$ 918)

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

| Fee<br>Code | Large<br>Entity<br>Fee<br>(\$) | Fee<br>Code | Small<br>Entity<br>Fee<br>(\$) | Fee Description  | Fee Paid |
|-------------|--------------------------------|-------------|--------------------------------|--|----------|
| 105         | 130                            | 205         | 65                             | Surcharge - late filing fee or oath  | 130      |
| 127         | 50                             | 227         | 25                             | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139         | 130                            | 139         | 130                            | Non-English specification  | 130      |
| 147         | 2,520                          | 147         | 2,520                          | For filing a request for reexamination                                     |          |
| 112         | 920*                           | 112         | 920*                           | Requesting publication of SIR prior to Examiner action                     |          |
| 113         | 1,840*                         | 113         | 1,840*                         | Requesting publication of SIR after Examiner action                        |          |
| 115         | 110                            | 215         | 55                             | Extension for reply within first month                                     |          |
| 116         | 400                            | 216         | 200                            | Extension for reply within second month                                    | 400      |
| 117         | 920                            | 217         | 460                            | Extension for reply within third month                                     |          |
| 118         | 1,440                          | 218         | 720                            | Extension for reply within fourth month                                    |          |
| 128         | 1,960                          | 228         | 980                            | Extension for reply within fifth month                                     |          |
| 119         | 320                            | 219         | 160                            | Notice of Appeal   |          |
| 120         | 320                            | 220         | 160                            | Filing a brief in support of an appeal                                     |          |
| 121         | 280                            | 221         | 140                            | Request for oral hearing   |          |
| 138         | 1,510                          | 138         | 1,510                          | Petition to institute a public use proceeding                              |          |
| 140         | 110                            | 240         | 55                             | Petition to revive - unavoidable   |          |
| 141         | 1,280                          | 241         | 640                            | Petition to revive - unintentional   |          |
| 142         | 1,280                          | 242         | 640                            | Utility issue fee (or reissue)   |          |
| 143         | 460                            | 243         | 230                            | Design issue fee   |          |
| 144         | 620                            | 244         | 310                            | Plant issue fee  |          |
| 122         | 130                            | 122         | 130                            | Petitions to the Commissioner  |          |
| 123         | 50                             | 123         | 50                             | Processing fee under 37 CFR 1.17 (q)                                       |          |
| 126         | 180                            | 126         | 180                            | Submission of Information Disclosure Stmt                                  |          |
| 581         | 40                             | 581         | 40                             | Recording each patent assignment per property (times number of properties) |          |
| 146         | 740                            | 246         | 370                            | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149         | 740                            | 249         | 370                            | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179         | 740                            | 279         | 370                            | Request for Continued Examination (RCE)                                    |          |
| 169         | 900                            | 169         | 900                            | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

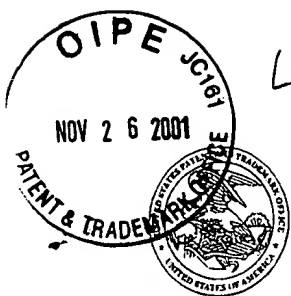
(\$ 660)

## SUBMITTED BY

|                   |                                      |                                 |                   |           |              |
|-------------------|--------------------------------------|---------------------------------|-------------------|-----------|--------------|
| Name (Print/Type) | G. Gregory Schivley / Bryant E. Wade | Registration No. Attorney/Agent | 27,282 / 40,344   | Telephone | 248-641-1600 |
| Signature         |                                      | Date                            | November 26, 2001 |           |              |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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11-29-01

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Sector \$1  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/866,861         | 05/29/2001          | Satoshi Inoue         | 9319T-000219           |

11/30/2001 BNGUYEN1 00000004 09866861

CONFIRMATION NO. 4497

27572  
HARNESS, DICKEY & PIERCE, P.C.  
P.O. BOX 828  
BLOOMFIELD HILLS, MI 48303

01 FC:101  
02 FC:102  
03 FC:103  
04 FC:105  
05 FC:139

740.00 OF  
756.00 OF  
162.00 OF  
130.00 OF  
130.00 OF

FORMALITIES LETTER



OC000000006340878\*

Date Mailed: 07/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 970.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

***A copy of this notice MUST be returned with the reply.***

a.

## Customer Service Center

Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

[illegible]



\$3

Attorney Docket No. 9319T-000173

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Satoshi INOUE

Serial No. 09/866,861

Filed: May 29, 2001

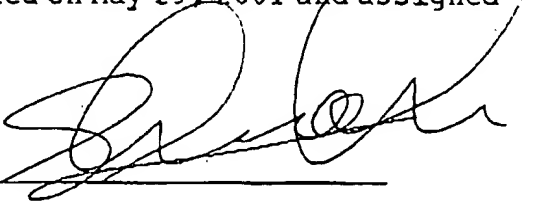
STATEMENT OF  
ACCURACY

Title: Display Device and Recording Medium

Hon. Commissioner of Patents  
and Trademarks  
Washington D.C. 20231

Sir:

The undersigned hereby states that the attached English languages patent application is an accurate translation of the Japanese language application filed on May 29, 2001 and assigned Serial No. 09/866,861.

Date: November 19, 2001 / Signed: 

Printed Name: Shinji Ohga